

THE STATE OF SOUTH CAROLINA
In the Court of Appeals

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Oct 16 2025

SC Court of Appeals

APPEAL FROM RICHLAND COUNTY

Jocelyn Newman, Circuit Court Judge

Case No. 2023-CP-40-00242

Curis D. Bale,.....Appellant,

v.

John A. Dougherty, Wachovia Securities Financial Holdings, LLC, Wells Fargo Clearing Services, LLC, f/k/a Wells Fargo Advisors, LLC, Wells Fargo & Company, Wells Fargo Bank, N.A., and LPL Financial, LLC,..... Defendants,

of which John A. Dougherty, Wells Fargo Clearing Services, LLC, f/k/a Wells Fargo Advisors, LLC, Wells Fargo Bank, N.A., and LPL Financial, LLC are..... Respondents.

CERTIFICATE OF SERVICE

I hereby certify that on October 16, 2025, in compliance with Court of Appeals Rule 203 (d)(1), I served the Notice of Appeal by electronic mail on the counsel below at their email address on file with the Attorney Information System. I further certify that all parties required to have been served have been served.

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October 16, 2025

Charleston, South Carolina