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**S.C. SUPREME COURT**

**EXHIBIT 4**



**TO:** Victor L. Hayslip, Esq.  
R. Walker Humphrey, II, Esq.

**From:** Rebecca Feinberg  
Senior Case Specialist

**Subject:** FINRA Dispute Resolution Services Arbitration Number 25-02287  
Wells Fargo Clearing Services, LLC f/k/a Wells Fargo Advisors, LLC and John A. Dougherty vs. Curtis D. Bale

**Date:** February 17, 2026

The Director of FINRA Dispute Resolution Services (“Director”) is in receipt of your request to decline FINRA’s arbitration forum and to stay the matter. The Director has denied your requests. Accordingly, the case will proceed in this forum, absent a court order staying the matter. If you have any questions, please do not hesitate to contact me at 561-443-8159 or by email at [Rebecca.Feinberg@finra.org](mailto:Rebecca.Feinberg@finra.org).

RF1:rf1:LC53X  
idr: 08/19/2021

**RECIPIENTS:**

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On Behalf Of: Wells Fargo Clearing Services, LLC; John A. Dougherty

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On Behalf Of: Curtis D. Bale