

THE STATE OF SOUTH CAROLINA
IN THE COURT OF APPEALS

APPEAL FROM THE LEXINGTON COUNTY
Court Of Common Pleas

Frank R. Addy, Circuit Court Judge

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SC Court of Appeals

APPELLATE CASE NO.: 2014-000091

James Spencer, individually and on behalf of the Estate of Doris Holt
and on behalf of Southern Holdings, Inc.; and Irene Santacroce, Plaintiffs,

Of whom James Spencer is the Appellant, Appellant,

v.

John R. Rakowsky, Adrian L. Falgione, and The Law Offices of
Adrian Falgione, LLC, Defendants,

Of whom John R. Rakowsky and Adrian L. Falgione are the Respondents.

MOTION TO DISMISS

Respondent Adrian L. Falgione ("Falgione"), by and through his undersigned counsel, hereby moves pursuant to Rules 210, 240 and 260(a), SCACR, for an Order dismissing this appeal based upon Appellant's continued failure to comply with the South Carolina Appellate Court Rules ("SCACR") and with this Court's Orders.

BACKGROUND

Appellant has repeatedly failed to comply with this Court's orders and with the SCACR throughout this appeal. The Court first dismissed this appeal on March 17, 2014 after Appellant failed to provide any information regarding the transcript. The case was

reinstated two months later, on May 21, 2014, upon Appellant's claim in essence that he did not understand his obligation to order and pay for the transcript under the SCACR. The Court again dismissed this case on July 31, 2014 after the Appellant failed to serve and file either his initial brief and designation of matter or a motion for an extension of time. Appellant again moved for reinstatement upon the claim that he had ordered the transcript on June 14, 2014. A review of his motion, however, reveals that he only requested the *cost* of the transcript on June 14, 2014. The court reporter did not receive the advance deposit for the transcript until July 14, 2014, or nearly two months after the Court reinstated the appeal. Despite that delay, the Court granted Appellant's motion and reinstated the appeal on September 30, 2014. Appellant was directed to "serve and file his initial brief and designation of matter with thirty days." (O. of September 30, 2014.) He did not do so.

On October 29, 2014, Appellant moved for an extension of time to December 15, 2014 to serve and file his initial brief and designation of matter. The Court granted that motion on December 16, 2014 and ordered that Appellant serve and file his initial brief and designation of matter within thirty days, or by January 15, 2015. He did not do so.

On January 15, 2015, Appellant filed yet another request for an extension asking that the deadline be extended to March 8, 2015. The Court granted the motion on March 4, 2015 but cautioned Appellant that "[n]o further extensions will be granted absent extraordinary circumstances." (O. of March 4, 2015.) Appellant was directed to serve and file his initial brief and designation of matter was April 3, 2015. He did not do so.

When Appellant failed to meet the filing deadline, the Respondents moved to dismiss this appeal. On May 13, 2015, the Court denied the Respondents' motions to

dismiss and granted Appellant leave to serve and file his initial brief and designation of matter out of time. According to the cover letter served with the Court's May 13, 2015 Order, Appellant was required to serve and file his initial brief and designation of matter within ten days. (Letter from Court to Parties, May 13, 2015.) He did not do so.

In addition to missing those deadlines, Appellant subsequently failed to serve the record on appeal pursuant to Rule 208(a)(3), SCACR, for months after the last brief was filed. Respondents moved yet again to dismiss the appeal. The Court, however, denied the motions and directed Appellant to "serve the record on appeal within twenty days of entry of [that] order." (O. of November 25, 2015.) Appellant's deadline to serve the record on appeal was December 15, 2015. He failed to do so.

Appellant served the record on appeal on December 16, 2015, one day late. Moreover, Appellant omitted from the record all material which Falgione designated and Appellant did not, and Appellant included some material which no party designated to be included in the record on appeal. In addition, some material Appellant included contains Appellant's own notations and highlights, rather than true and accurate copies of the documents designated.

These actions are not innocuous or inadvertent violations of this Court's Order and of the SCACR. They are blatant, intentional and egregious violations. Therefore, based upon Appellant's continued failure to comply with the South Carolina Appellate Court Rules and with this Court's Orders, Falgione moves once again for an Order dismissing this appeal.

ARGUMENT

Appellant has repeatedly failed to meet deadlines and failed to comply with the

Rules of Appellate Practice throughout this appeal. While the Court has been lenient, the Court should not allow a party—even a pro se party—to continually violate its Orders and the Appellate Court Rules. To allow such conduct drains judicial resources, distracts from the issues on appeal, frustrates the purpose of the Rules of Appellate Practice and substantially increases the costs to all parties involved. Appellant’s latest failure, therefore, warrants dismissal of this appeal.

I. Appellant failed to timely serve the record on appeal.

In its November 25, 2015 Order, the Court directed that Appellant serve the record on appeal within twenty days, or by December 15, 2015. “The parties are reminded that continued failure to adhere to the timelines established by the South Carolina Appellate Court rules and this court’s orders may result in dismissal of this appeal.” (O. of November 25, 2015.) On December 17, 2015, the undersigned received via UPS a cardboard box from Appellant that contained only two bound volumes of the record on appeal. (Aff. of Bruner, December 18, 2015.) The label on the box shows the record was shipped on December 16, 2015. (Exhibit A to Aff. of Bruner, December 18, 2015.) Tracking information available on the UPS web site shows the same. (Exhibit B to Aff. of Bruner, December 18, 2015.) Because the package contained no cover letter or proof of service, it is unclear when Appellant contends he served the record; however, none of the information available to this Respondent suggests the record on appeal was served by the December 15, 2015 deadline.

Therefore, the Court should dismiss this appeal.

II. Appellant failed to comply with Rule 210(c) by omitting from the record on appeal a substantial amount of material Falgione designated and by including additional material no party designated.

Rule 210(c), SCACR, provides in pertinent part, "The Record on Appeal shall include all matter designated to be included by any party under Rule 209 and shall comply with the requirements of Rule 267. The Record shall not, however, include matter which was not presented to the lower court or tribunal."

Not only has Appellant failed to comply with the Rule, the failure is blatant and egregious. The record Appellant compiled is 334 pages long. (See Exhibit C to Aff. of Bruner, December 18, 2015.) However, of the twenty-six items listed on Falgione's designation of matter, Appellant jettisoned the following from the record:

2. Notice of Appearance, November 2, 2011.

* * *

6. Amended Motion to Dismiss, January 3, 2012.

7. Memorandum in Support of Motion to Dismiss, January 27, 2012.

8. Order Denying Motion for Entry of Default, signed June 14, 2012, and filed June 28, 2012.

9. Motion to Transfer Venue, May 17, 2012

10. Letter from Court to Parties, July 31, 2012.

* * *

12. E-mail from Headley to Court, May 7, 2013.

13. Order of the Circuit Court, May 8, 2013.

14. Order of S.C. Supreme Court, June 11, 2013.

15. Ans. Regarding Waiver or Recusal, May 28, 2013.

16. Letter from Court to Parties, May 30, 2013.

17. Falgione's Motion for Admissions filed May 16, 2013 (10 pages with exhibits).

18. Order Nunc Pro Tunc, June 25, 2013.

* * *

22. Order Denying Motion for Entry of Default, signed June 14, 2012 and filed June 28, 2012.

23. Order of Continuance signed June 14, 2012 and filed June 28, 2012.
24. Falgione's Motion to be Relieved as Counsel, filed July 10, 2007 in Southern Holdings, Inc., et al. v. Horry County, et al., C/A No. 4:02-cv-1859, Docket Entry 516.
25. Transcript of May 7, 2012 status conference, page 39, lines 1 to 25.
26. Transcript of June 5, 2013 hearing: . . . page 39, lines 18 to 24; p. 44, line 21 to p. 45, line 24; p. 49, line 22 to p. 50, line 5; p. 51, lines 13 to 15; Page 52, lines 16 to 21; page 58, line 24 to page 59, line 3; Page 64, lines 1 to 19.

(See Falgione's Designation of Matter.) The only material from Falgione's designation of matter which Appellant included in the record was that material which the Appellant himself also designated. It is apparent, therefore, that Appellant did not inadvertently omit the material Falgione designated; he intentionally excluded the material without regard for what the Rules or the Court required of him.

The defects in the record do not end there. Appellant also omitted transcript excerpts and other matter he designated himself;¹ he included material in the record which no party designated;² and the Appellant placed his own highlighting and notations on some of the material. (See, e.g., Order dated July 3, 2007, Exhibit D to Aff. of Bruner, December 18, 2015.) Appellant even included an affidavit from another lawsuit dated May 16, 2014 (months after this appeal commenced) which could never have been presented to or considered by the trial court. See Rule 210(c), SCACR ("The Record shall not, however, include matter which was not presented to the lower court or tribunal.").

¹ For example, Appellant designated the following items but excluded them from the record: Amended Motion to Dismiss by Falgione, January 3, 2012; Transcript of May 7, 2012 hearing pp. 9-11, 32, 34, 37-42, 44-45, 48, 51-52, 56, 60 and 67; Transcript of June 5, 2013 hearing pp. 1-3; Docket No. 482 – Transcript of May 8, 2007 pretrial hearing in underlying federal court case.

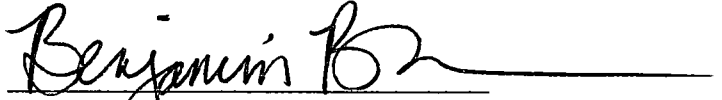
² For example, Appellant included the following items in the record: Appellant's Rule 59 Motion for Reconsideration of Order Changing Venue; Transcript of May 7, 2012 hearing pp. 1, 2, 21, 22, 49, 50 and 61; Transcript of May 9, 2007 hearing in United States District Court for the District of South Carolina, Florence Division.

Rule 210 plainly and unequivocally requires that Appellant prepare the record on appeal with all matters designated by any party. Rule 210, SCACR (emphasis added). That Rule further requires Appellant to certify when he files the record that it contains all material proposed by any of the parties and not any other material. Appellant cannot truthfully give that certification with the record he has prepared. The defects in the record are not inadvertent mistakes but rather intentional violations of the Rules which warrant dismissal.

CONCLUSION

For these reasons, Appellant's continual failure to comply with this Court's orders and with the South Carolina Appellate Court Rules and his egregious violation of the Rules in preparing the record on appeal warrants dismissal.

December 22, 2015



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803-252-7693
Attorney for Adrian L. Falgione

THE STATE OF SOUTH CAROLINA
IN THE COURT OF APPEALS

APPEAL FROM THE LEXINGTON COUNTY
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Frank R. Addy, Circuit Court Judge

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Of whom James Spencer is the Appellant, Appellant,

v.

John R. Rakowsky, Adrian L. Falgione, and The Law Offices of
Adrian Falgione, LLC, Defendants,

Of whom John R. Rakowsky and Adrian L. Falgione are the Respondents.

AFFIDAVIT OF COUNSEL

PERSONALLY APPEARED BEFORE ME, Benjamin C. Bruner, who being
duly sworn, deposes and says as follows:

1. I am over 18 years old.
2. I have personal knowledge of the facts stated herein, except for those set forth upon information and belief.
3. I represent Respondent Adrian L. Falgione in this appeal.
4. On December 17, 2015, UPS delivered a package to my office that contained a two-volume, 334-page record on appeal that purported to be prepared by the Appellant. No cover letter or proof of service was included in the package.

5. The label affixed to the top of the package indicate the package was sent on December 16, 2015. True and accurate photographs of the label on the outside of the package are attached hereto as Exhibit A.

6. The label affixed to the top of the package also provided a UPS tracking number. I opened the UPS website and entered that tracking number. The resulting web page also showed the package was sent December 16, 2015. A true and accurate copy of the web page showing the tracking information is attached as Exhibit B.

7. I am informed and believe, therefore, that Appellant failed to serve the record on appeal by December 15, 2015.

8. A true and accurate copy of the Index for the record on appeal Appellant served is attached as Exhibit C.


9. The document attached as Exhibit D is a true and accurate copy of pages 160 to 168 of the record on appeal, which contain a July 3, 2007 Order from the United States District Court for the District of South Carolina, Florence Division, in the underlying lawsuit. The highlighting and notations on the document do not appear in the Court's original order but, upon information and belief, are the work of the Appellant.

FURTHER AFFIANT SAYETH NOT.



Benjamin C. Bruner

SWORN TO ME this 22nd day of December, 2015



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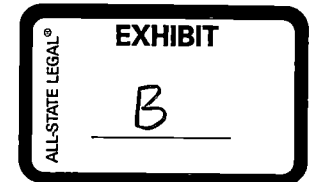
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West Columbia, SC, United States	12/16/2015	7:49 P.M.	Destination Scan
	12/16/2015	7:14 P.M.	Origin Scan
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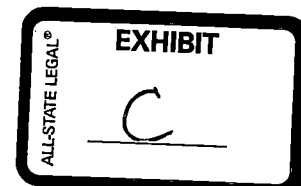


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UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF SOUTH CAROLINA
FLORENCE DIVISION

SOUTHERN HOLDINGS, INC., et al.,)	Civil Action No.: 4:02-cv-1859-RBH
)	
Plaintiffs,)	
)	
v.)	ORDER ON MOTIONS
)	PURSUANT TO F.R.A.P. 4(a)(4)
HORRY COUNTY, et al.,)	
)	
Defendants.)	
)	

Pending before the court are: 1) Letter/motion [Docket Entry # 483] by Dan Green, C.E.O. of Southern Holdings, Inc.; 2) Letter/motion [Docket Entry # 484] by Irene Santacroce; 3) Letter/motion [Docket Entry # 485] by Marguerite Stephens; 4) Letter/motion [Docket Entry # 486] by Ricky Stephens; and 5) Letter/motion [Docket Entry # 487] by Rodney Lail. All of

these letters/motions request relief from the Order dismissing this case with prejudice pursuant to a settlement agreement that was stated on the record. See [Docket Entry # 475]; Transcript of Trial Proceedings, at 2-6 [Docket Entry # 482]. The above letters/motions were not filed

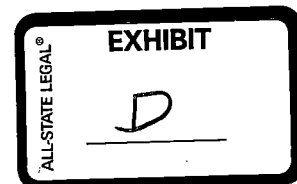
by Plaintiffs' counsel, but were filed *pro se* by the Plaintiffs listed above.¹

On June 7, 2007, the court issued an Order requesting that any parties wishing to file responses to the Plaintiffs' *pro se* letters/motions must do so no later than June 14, 2007.

Order [Docket Entry # 488]. On June 14, 2007, the court received responses from all Defendants and the Plaintiffs' attorneys.

As noted below, a Notice of Appeal has been filed in this case. However, pursuant to Federal Rule of Appellate Procedure 4(a)(4), this court has jurisdiction to rule on the pending

¹ It should be noted that Plaintiffs' attorneys, Rakowsky and Falgione, have not been relieved as attorneys for the Plaintiffs, nor have any motions to withdraw as attorney been filed.



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letters/motions. Under Local Rule of Civil Procedure 7.08, hearings on motions are not required. Therefore, the court, in its discretion, elects to rule on the pending matters without a hearing.

Procedural and Factual Background

The procedural background of this case is extensive; however, the procedural and factual background relevant to the current matters is fairly limited. The trial of this case was set to begin on May 9, 2007. On May 8, 2007, a larger than normal jury panel was brought in due to the anticipated length of the case, which was expected to last at least three weeks. On May 9, 2007, the jurors who had been selected reported to the courthouse for the beginning of trial.

On May 9, 2007, with the jury waiting to be brought out and just before the trial was to begin, the lawyers advised the court of a settlement, the terms of which were then stated on the record in the presence of all of the Plaintiffs who filed the *pro se* letters/motions.² Transcript of Trial Proceedings, at 2-6 [Docket Entry # 482]. Accordingly, on the record, with the consent of counsel, and in the presence of the parties, the court Ordered that the case be dismissed with prejudice per the settlement agreement. [Docket Entry # 475]; Transcript of

Trial Proceedings, at 2-6 [Docket Entry # 482]. None of the parties objected to the settlement agreement or to the dismissal of the case with prejudice. Transcript of Trial Proceedings, at 2-6 [Docket Entry # 482].

Beginning on June 1, 2007, chambers began receiving emails from individual plaintiffs

² According to the Clerk's office, the total cost to the federal government to bring in jurors for the panel and first day of trial was Eleven thousand, nine hundred eighty-four dollars (\$11,984.67) and sixty-seven cents.

with various attachments ranging from affidavits, court transcripts, court docket entries, and letters from Attorney Rakowsky to third persons.³ These emails requested that this court, among other things, vacate the settlement and reinstate the case.

Some of the emails sent to chambers referenced relief under Rule 59(e) of the Federal Rules of Civil Procedure.⁴ One email did not reference a specific procedural rule.⁵ All of the letters appeared to seek relief on the basis that: 1) Both Plaintiffs' counsel and Defendants' counsel engaged in fraud in order to coerce the Plaintiffs into a settlement; 2) Plaintiffs' counsel misrepresented to Plaintiffs either certain evidentiary rulings the court had made or was going to make; 3) Plaintiffs' counsel allegedly gave the Plaintiffs bad legal advice concerning the likelihood of exposure to a Judgment in light of a previous Offer of Judgment; 4) Plaintiffs did not agree to the settlement offer at any time; and 5) the Offer of Judgment was somehow "bogus." Specifically, Dan Green, Ricky Stephens, Marguerite Stephens, Rodney Lail, and Irene Santacroce asked the court to: 1) vacate the settlement; 2) reinstate the case to active status; 3) order an independent Federal investigation into the corruption that has been

³ With regard to Attorney Rakowsky's letters to third parties, while the court does not agree with many of the statements by Attorney Rakowsky concerning statements attributed to the court, this court did express concern about the matter of Plaintiffs' untimely production of evidence as argued by the Defendants in open court on May 8, 2007. The court reserved ruling until the next day at which time the court was advised the parties had reached a settlement.

⁴ Rodney Lail, who sent an email on June 3, 2007, Irene Santacroce, who sent an email on June 4, 2007, Ricky Stephens, who sent an email on June 6, 2007, and Marguerite Stephens, who sent an email on June 6, 2007, all asked the court to vacate the settlement under Rule 59(e) based on alleged fraud and coercion committed against the Plaintiffs by Plaintiffs' attorneys in conjunction with Defendants' counsel.

⁵ On June 1, 2007, Dan Green, C.E.O. of Southern Holdings, Inc., emailed a letter requesting that the court vacate the settlement based on the same factual allegations as the Plaintiffs noted in Footnote #4; however, Dan Green's email did not reference a specific procedural rule. Dan Green, who is not an attorney licensed to practice in this jurisdiction, purports to represent Southern Holdings, Inc.; however, Local Rule of Civil Procedure 83.1.07(3) states that a corporation cannot proceed without counsel and that such counsel must be admitted to practice in this District. Local Civil Rule 83.1.07(3) DSC.

associated with this case; and 4) stay the case until an independent review can be conducted, the Plaintiffs can assess the damage done to their case, and obtain qualified counsel to represent the Plaintiffs.⁶

On June 7, 2007, the court instructed that all of the emails be filed with the Clerk of Court. See [Docket Entry ## 483-487]. On the same day, the court Ordered that any party wishing to file a response to the letters/motions must do so no later than June 14, 2007. By June 14, 2007, all parties, including Plaintiffs' counsel Rakowsky and Falgione, responded to the allegations contained in Plaintiffs' *pro se* letters/motions. Additionally, the Hartness Defendants filed a motion for sanctions and other relief against the Plaintiffs. No replies have been filed.

As if the matter were not complicated enough, on June 21, 2007, a Notice of Appeal was filed by Ron Serota, Esq. on behalf of all Plaintiffs. On June 22, 2007, Attorney Serota also filed a motion to vacate the judgment on behalf of Doris Holt, an individual Plaintiff that had not previously filed a *pro se* letter/motion.

It should be noted that Attorney Serota, who is not admitted to the South Carolina Bar and was previously admitted in this case *pro hac vice*, was later removed and relieved as counsel for the Plaintiffs on May 3, 2007, pursuant to motions filed by both the Plaintiffs and Serota himself. Serota has not been readmitted *pro hac vice* and has not obtained sponsorship from any local counsel admitted to practice in this District. Serota has violated various Local Civil Rules of this District by: 1) filing documents without first being admitted *pro hac vice*;

⁶ The Docket Report in this case indicates that the Plaintiffs have had several attorneys terminated or withdrawn from the case.

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2) failing to obtain sponsorship by local counsel; and 3) failing to have his Notice of Appeal and motion signed by local counsel. As mentioned earlier, Attorneys Rakowsky and Falgione have not been relieved as counsel for any of the Plaintiffs. Additionally, it appears Serota filed these documents using Attorney Rakowsky's CM/ECF login and password without Rakowsky's permission. Nevertheless, the Notice of Appeal filed by Serota will be transmitted to the Fourth Circuit Court of Appeals where the propriety of the Notice of Appeal will ultimately be determined.⁷ Because of Serota's failure to comply with the Local Civil Rules regarding local counsel, Holt's motion [Docket Entry # 500] to set aside the judgment based on Rule 60(b) is not properly before this court.⁸

Discussion

Plaintiffs' pro se letters/motions are untimely under Federal Rule of Civil Procedure 59(e)

To the extent Plaintiffs seek relief from this court's May 9, 2007, Order dismissing this case with prejudice, their motions are untimely. Rule 59(e) clearly states that "[a]ny motion to alter or amend a judgment shall be filed no later than 10 days after entry of the judgment."

Fed. R. Civ. P. 59(e). Even if this court considers the date Plaintiffs emailed their letters to chambers as the filing date, their motions are still untimely. The 10 day deadline contained in Rule 59(e) is mandatory and cannot be extended pursuant to Rule 6(b). Fed. R. Civ. P. 6(b).

Therefore, Plaintiffs' letters/motions founded on Rule 59(e) are denied as untimely.

Plaintiffs' pro se letters/motions under Federal Rule of Civil Procedure 60(b)(3)

⁷ At first glance, Plaintiffs' Notice of Appeal appears to be untimely; however, that matter would be more properly decided by the Fourth Circuit Court of Appeals.

⁸ The court also notes that Doris Holt's affidavit that was submitted with Attorney Serota's Rule 60(b) motion does not appear to be properly signed or notarized.

To the extent that Plaintiffs allege fraud as a basis for relief, the court liberally construes their letters/motions as motions under Rule 60(b)(3), which provides for relief from judgment or order based on fraud, misrepresentation, or other misconduct of an adverse party. Fed. R. Civ. P. 60(b)(3). The basis of Plaintiffs' allegations against the adverse parties is that they concocted a "bogus" Offer of Judgment and that, in conjunction with various alleged misrepresentations by the attorneys for the Plaintiffs, they coerced the Plaintiffs to settle the case.

Plaintiffs' *pro se* letters/motions fail under Rule 60(b)(3) because they have failed to show by clear and convincing evidence that the adverse parties, the Defendants or Defendants' counsel, were guilty of any fraud. *See Schultz v. Butcher*, 24 F.3d 626, 630 (4th Cir. 1994). This conclusion is evident from the court's review of the submissions of the parties relevant to the Plaintiffs' letters/motions.

To prevail on a Rule 60(b)(3) motion, the moving party must establish: 1) a meritorious defense; 2) misconduct by clear and convincing evidence; and 3) the misconduct prevented the moving party from fully presenting its case. *Schultz*, 24 F.3d at 630 (citing *Square Constr. Co. v. Washington Metro. Area Transit Auth.*, 657 F.2d 68, 71 (4th Cir. 1981)).

Two Offers of Judgment are at issue. The Hartness Defendants' Offer of Judgment, signed by E. Glenn Elliot, Esq., is dated July 12, 2005. As evidenced by the facsimile transmission reports attached with the Hartness Defendants' response, the Hartness Offer of Judgment was successfully faxed to Plaintiffs' Attorneys Rakowsky, Serota, Cooper, and Hardee on July 12, 2005.

The second Offer of Judgment concerns the Horry County Defendants. The Horry

County Offer of Judgment contains a certificate of service indicating that the Offer of Judgment was mailed to Plaintiffs' Attorney Goldberg on July 21, 2004. Horry County also attached a facsimile transmission report that showed that the Horry County Offer of Judgment was successfully faxed to Plaintiffs' Attorney Goldberg on July 21, 2004. In fact, on July 24, 2004, the Horry County Defendants received an Objection from Plaintiffs' Attorney Goldberg and their local counsel at the time, James Cooper, to the Offer of Judgment. So the contention that the Defendants' attorneys "crafted" a fraudulent Offer of Judgment just days before trial, without proper service, in an attempt to coerce the Plaintiffs into a settlement agreement is totally without merit. The Plaintiffs assert no evidence whatsoever, much less clear and convincing evidence, of any fraud or misrepresentation by any of the adverse parties or lawyers in this case. Additionally, the Plaintiffs have not shown that the supposedly "bogus" Offers of Judgment prevented them from moving forward with their case. As noted above, a jury had already been selected and the settlement agreement was announced the morning trial was set to begin. Therefore, their requests for relief based on Rule 60(b)(3), fraud by an "adverse party," is denied. To the extent the Plaintiffs allege fraud committed by their own counsel, that is not a basis for relief under Rule 60(b)(3) as Plaintiffs' own counsel are not "adverse parties."

Other Grounds for Relief under Rule 60(b)

The court has reviewed the various submissions by the parties, and to the extent the Plaintiffs' letters/motions raise issues that could be construed as seeking relief under other subsections of Rule 60(b), the court has reviewed the applicable law regarding relief under subsections of Rule 60(b) that are arguably applicable to these circumstances and concludes

that the Plaintiffs are not entitled to any such relief. In other words, the Plaintiffs have not made a sufficient showing of entitlement such relief.

Further, with regard to any claim by the Plaintiffs of either improper inducement, bad advice, or inadequate representation or preparation against Plaintiffs' own attorneys, such is not a valid basis for repudiating a settlement. *Petty v. Timken Corp.*, 849 F.2d 130, 133 (4th Cir. 1988). In the event there was any breach of the standard of care owed by Plaintiffs' attorneys to the Plaintiffs as a result of alleged coercion, improper inducement, fraud, or inadequate representation, Plaintiffs have an available remedy for damages against their own attorneys. *See Petty*, 849 F.2d at 133.

Vacate or Set Aside Settlement Agreement

It is well settled that merely having second thoughts about entering into a settlement agreement is insufficient to set aside the settlement agreement. *Young v. Federal Deposit Ins. Corp.*, 103 F.3d 1180, 1195 (4th Cir. 1997). The court notes that all of the Plaintiffs who filed these letters/motions, *pro se* requests for relief, were present in the courtroom when the settlement agreement was announced on the record. Although the undersigned did not poll each plaintiff individually, nor each Defendant individually, he is not required to do so. This case did not involve a minor settlement or a wrongful death settlement, which would require court approval and may require the court to query a plaintiff regarding whether they believe the settlement is fair and in their best interests. Regardless, none of the Plaintiffs brought any of the matters they allege in their letters/motions to the court's attention until three weeks

later.⁹ The court regrets that the Plaintiffs may have had a change of heart, but a change of heart is not a sufficient basis to set aside a settlement agreement. *See Petty*, 849 F.2d at 133.

Conclusion

For the reasons stated above, the relief requested in the: 1) Letter/motion [Docket Entry # 483] by Dan Green, C.E.O. of Southern Holdings, Inc.; 2) Letter/motion [Docket Entry # 484] by Irene Santacroce; 3) Letter/motion [Docket Entry # 485] by Marguerite Stephens; 4) Letter/motion [Docket Entry # 486] by Ricky Stephens; and 5) Letter/motion [Docket Entry # 487] by Rodney Lail is **DENIED**.

Because Attorney Serota failed to comply with this District's Local Rules of Civil Procedure regarding the use of local counsel, Holt's [Docket Entry # 500] motion to set aside or vacate the judgment is not even properly before the court.

Finally, because this case is on appeal to the Fourth Circuit, the court feels that it would not be appropriate to rule on the additional relief requested by the Hartness Defendants.

IT IS SO ORDERED.

Florence, SC
July 3, 2007

s/R. Bryan Harwell

R. Bryan Harwell

United States District Judge

⁹ The court cannot disregard the fact that substantial juror costs and court time had been allocated for this case and to simply allow the matter to be reopened when a settlement agreement has been placed on the record in open court with a jury standing by would open the court to easy manipulation of its time and resources.

THE STATE OF SOUTH CAROLINA
IN THE COURT OF APPEALS

RECEIVED

APPEAL FROM THE LEXINGTON COUNTY
Court Of Common Pleas

DEC 22 2015
SC Court of Appeals

Frank R. Addy, Circuit Court Judge

APPELLATE CASE NO.: 2014-000091

James Spencer, individually and on behalf of the Estate of Doris Holt
and on behalf of Southern Holdings, Inc.; and Irene Santacroce, Plaintiffs,

Of whom James Spencer is the Appellant, Appellant,

v.

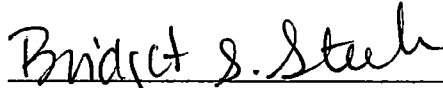
John R. Rakowsky, Adrian L. Falgione, and The Law Offices of
Adrian Falgione, LLC, Defendants,

Of whom John R. Rakowsky and Adrian L. Falgione are the Respondents.

PROOF OF SERVICE

I, Bridget Steele, an employee of Bruner, Powell, Wall & Mullins, LLC, attorneys for Respondent Adrian L. Falgione, certify that I served a copy of the attached *Motion to Dismiss* and *Affidavit of Counsel* by depositing a copy of it in the U.S. Mail, postage prepaid, on December 21, 2015, addressed to the *pro se* Appellant, James B. Spencer, 7001 Saint Andrews Road, Suite 183, Columbia, South Carolina 29212, and to Respondent John R. Rakowsky's attorneys of record, David W. Overstreet, Esquire and Michael B. McCall, Esquire at Carlock, Copeland & Stair, LLP, 40 Calhoun Street, Suite 400, Charleston, South Carolina 29401.

December 22, 2015


Bridget Steele

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December 22, 2015

RECEIVED

DEC 22 2015

SC Court of Appeals

VIA HAND DELIVERY

The Honorable Jenny Abbott Kitchings
Clerk of Court, S.C. Court of Appeals
1220 Senate Street
Columbia, SC 29201

Re: *James Spencer, et al. v. John Rakowsky, et al.*
Appellate Case No.: 2014-00091
BPWM File No.: 3-1742-108

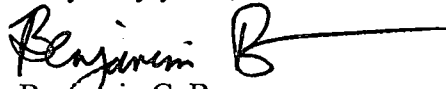
Dear Ms. Kitchings:

Enclosed herewith for filing please find an original and seven (7) copies each of Respondent Adrian Falgione's *Motion to Dismiss* in the above referenced action, together with a proof of service for each. Please file the original and copies and return one file stamped copy via my courier.

Thank you for your assistance in this matter. If you have any questions, please do not hesitate to contact me.

With my kindest regards, I am,

Very truly yours,


Benjamin C. Bruner

BCB/gh
Enclosures

cc: James B. Spencer (via U.S. Mail w/ encl.)
David W. Overstreet, Esq. (via U.S. Mail w/ encl.)
Michael B. McCall, Esq. (via U.S. Mail w/ encl.)